

Enterprise Architecture Frameworks

Prof. Dr. Knut Hinkelmann



Learning Objective of Chapter 2

- Topic: Enterprise Architecture Framework
 - ◆ Content and structure of enterprise architecture descriptions
- This is necessary because
 - ◆ Enterprises are complex systems and thus also enterprise architecture descriptions are complex
 - ◆ Frameworks provide a structure for EA descriptions
- Learning Objective
 - ◆ Understand the content of an enterprise architecture description and how it can be organised
 - ◆ know the two main enterprise architecture frameworks TOGAF and Zachman

Chapter 2: Enterprise Architecture Frameworks

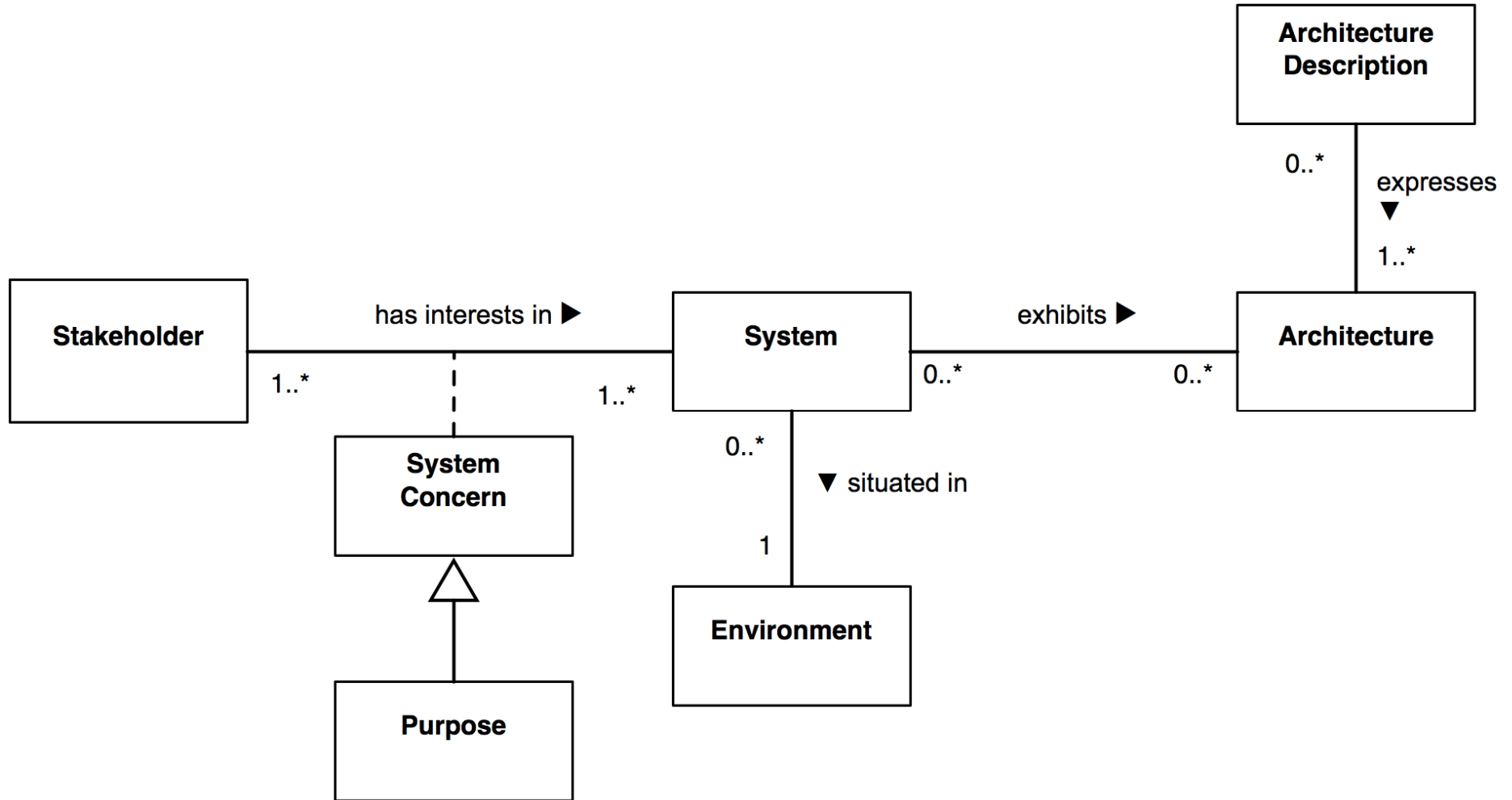
- Enterprise Architecture Descriptions
- Enterprise Architecture Frameworks
 - ◆ Zachman Enterprise Ontology
 - ◆ TOGAF

ISO/IEC/IEEE 42010 Systems and Software Engineering — Architecture Description

- International standard for architecture descriptions of systems and software.
- The original IEEE 1471 specified requirements on the contents of **architecture descriptions** of systems.
 - ◆ An architecture description (AD) expresses the architecture of a system of interest
- ISO/IEC/IEEE 42010 adds definitions and requirements on **architecture frameworks** and **architecture description languages** (ADLs)

ISO/IEC/IEEE 42010

A Conceptual Model of Architecture Description



Key Ideas of ISO/IEC/IEEE 42010: **Architecture**

- "**Architecture**" names that which is fundamental about a system; the set of essential properties of a system which determine its form, function, value, cost, and risk.
- That which is **fundamental** to a system takes several forms:
 - ◆ its **elements**: the constituents that make up the system;
 - ◆ the **relationships**: both internal and external to the system; and
 - ◆ the **principles of its design and evolution**.
- An architecture is a *conception of a system* – i.e., it is in the human mind. An architecture may exist without ever being written down.

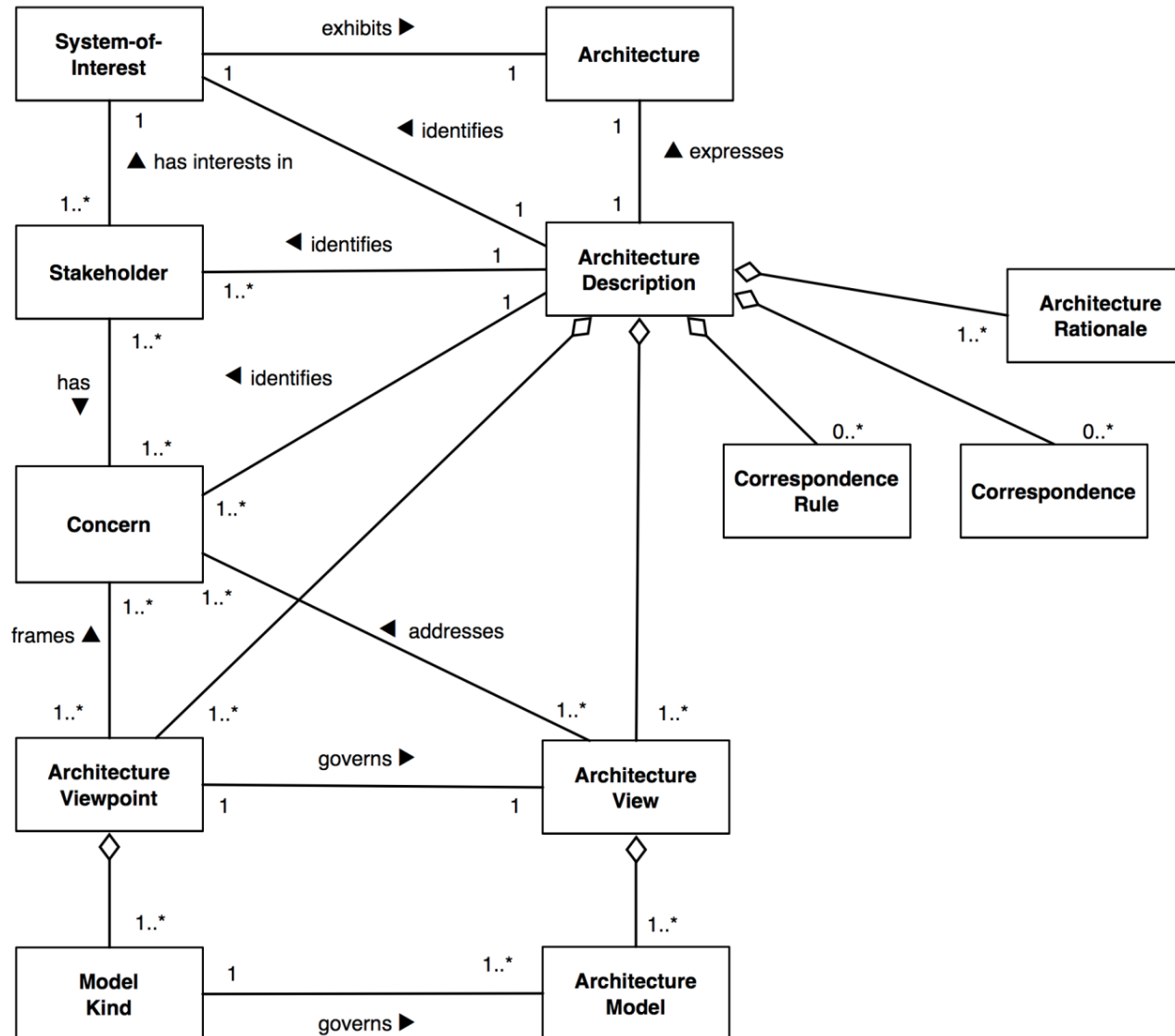
Enterprise Architecture

- **An Enterprise Architecture** is a coherent whole of principles, methods, and models that are used in the design and realisation of an enterprise's organisational structure, business processes, information systems, and infrastructure
- An Enterprise Architecture contains all *relevant*
 - ◆ Business structures
 - ◆ IT structures
 - ◆ and their relationships
- Enterprise Architecture gives an overall view on the enterprise
 - ◆ merge distributed information from various organisational entities and projects into a whole
 - ◆ show the interconnectedness and dependencies between these information

Key Ideas of ISO/IEC/IEEE 42010: **Architecture Description**

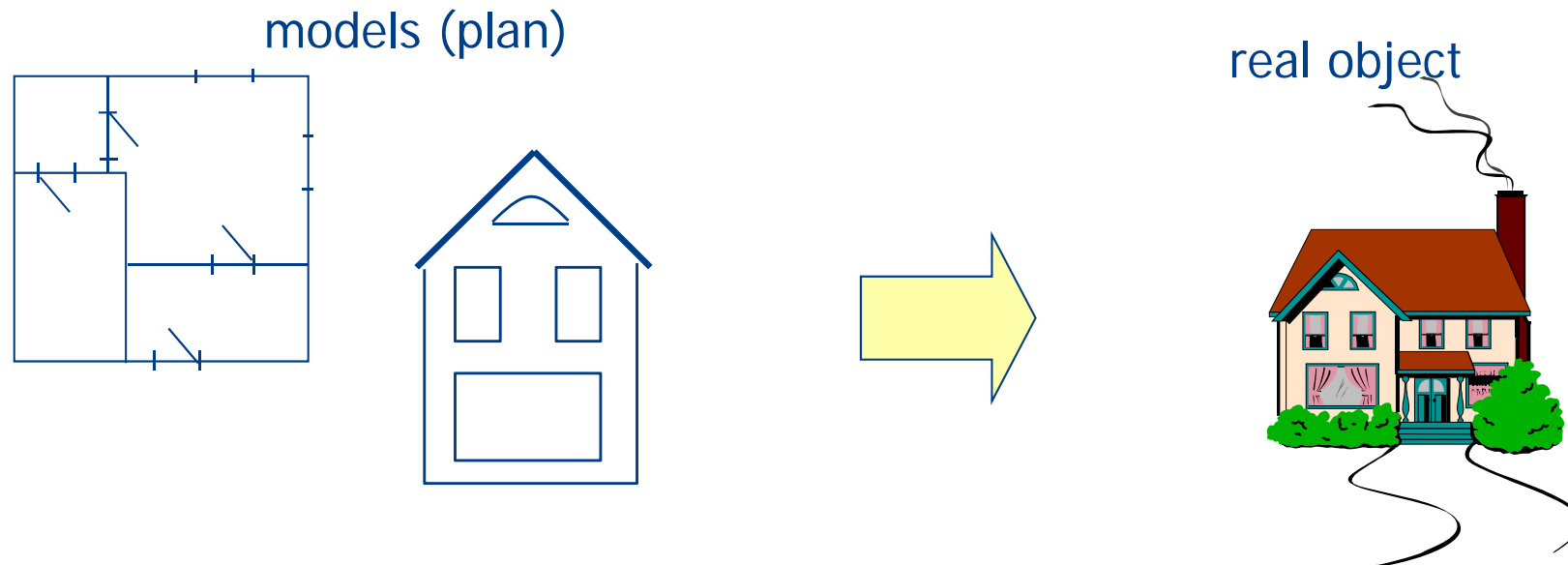
- An *architecture description* (AD) is an artifact that expresses an Architecture to share with others.
 - ◆ An AD is what is written down as a concrete work product. It could be a document, a repository or a collection of artifacts used to define and document an architecture
 - ◆ Architects and other system stakeholders use Architecture Descriptions to understand, analyze and compare Architectures, and often as "blueprints" for planning and construction.

The Core of Architecture Description



Architecture Description and Architecture Models

- An *Architecture Description* consists of one or several *Architecture Models*
- A Model is a reproduction of a relevant part of reality which contains the essential aspects to be investigated.
- Relevance depends on stakeholders and their concerns.



Key Ideas of ISO/IEC/IEEE 42010

Stakeholder and Concerns

- **Stakeholders** are individuals, groups or organizations holding concerns for the System.
 - ◆ **Examples of Stakeholders:** client, owner, user, operator, maintainer, developers, suppliers, regulator, auditor, architect.
- A **Concern** is any interest in the system.
 - **Examples of Concerns:** optimisation, efficiency, quality of service, automation, agility, behavior, business goals, customer experience, flexibility, maintainability, regulatory compliance, security.

Architecture Views and Viewpoints

- Not everyone is interested in everything. Views and Viewpoints are a means to specify which part of an Architecture Description is of relevance
- **View**: Part of an architecture description. It expresses the architecture
 - from the perspective of one or more **Stakeholders**
 - to address specific **Concerns**
- **Viewpoint** specifies a view. It prescribes the concepts, models, analysis techniques, and visualizations that are provided by the view

*A view is what you see and
a viewpoint is where you are looking from*

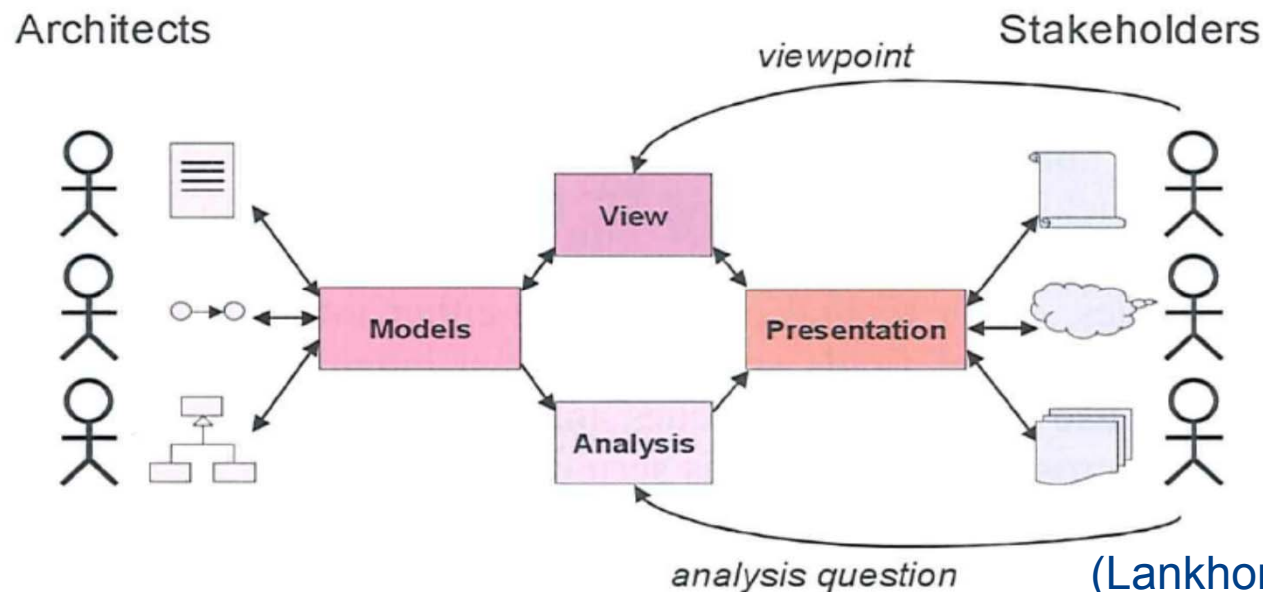
What is and what is not shown in a view depends on the scope of the viewpoint and on what is relevant to the concerns of the stakeholders

Source: ArchiMate 2.0 Specification, chapter 8, <http://pubs.opengroup.org/architecture/archimate2-doc/chap08.html>



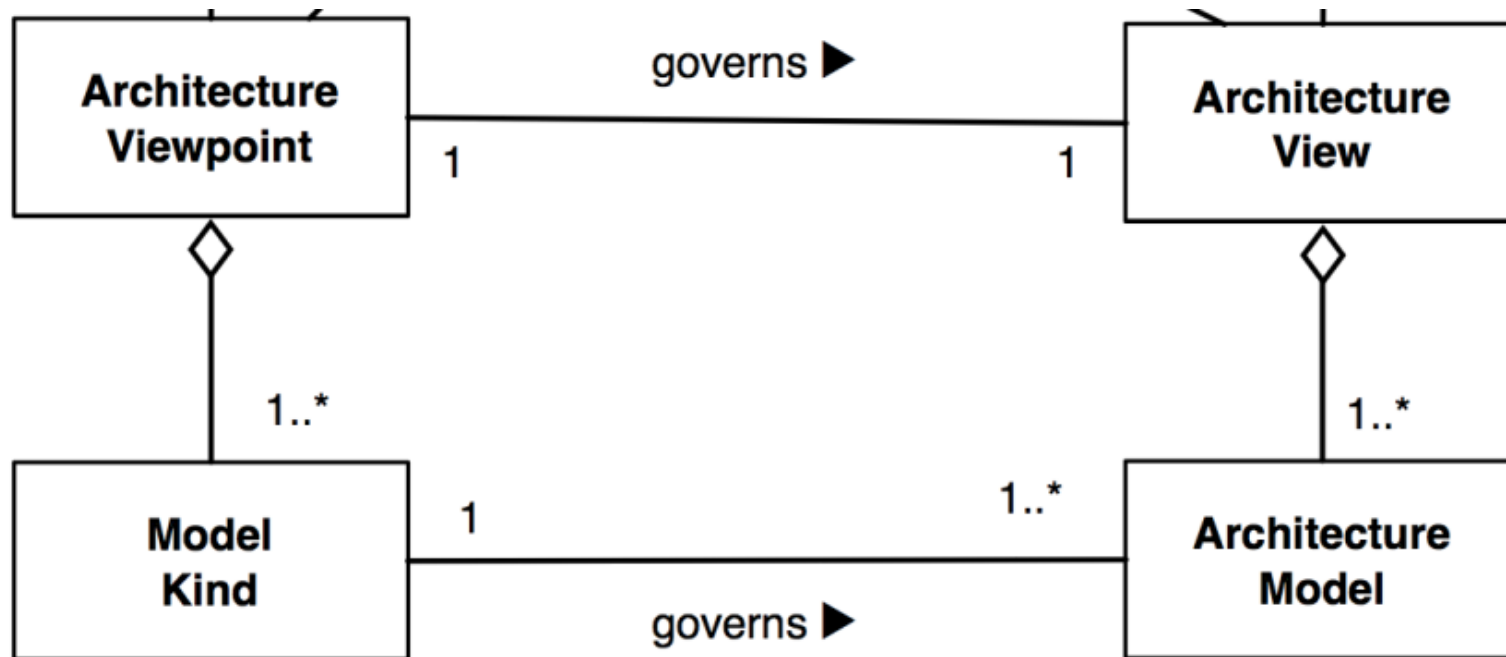
Viewpoints: Communicating about Architecture

- Viewpoints are a means to focus on particular aspects of the architecture;
- The aspects are determined by the concerns of the stakeholder with whom communication takes place.
- Viewpoints are designed for the purpose of communicating certain aspects of an architecture.
- The architect informs the stakeholders, and the stakeholders give feedback on the presented aspects.



(Lankhorst et al. 2005, p. 4)

Views, Viewpoints, Model Kinds and Models

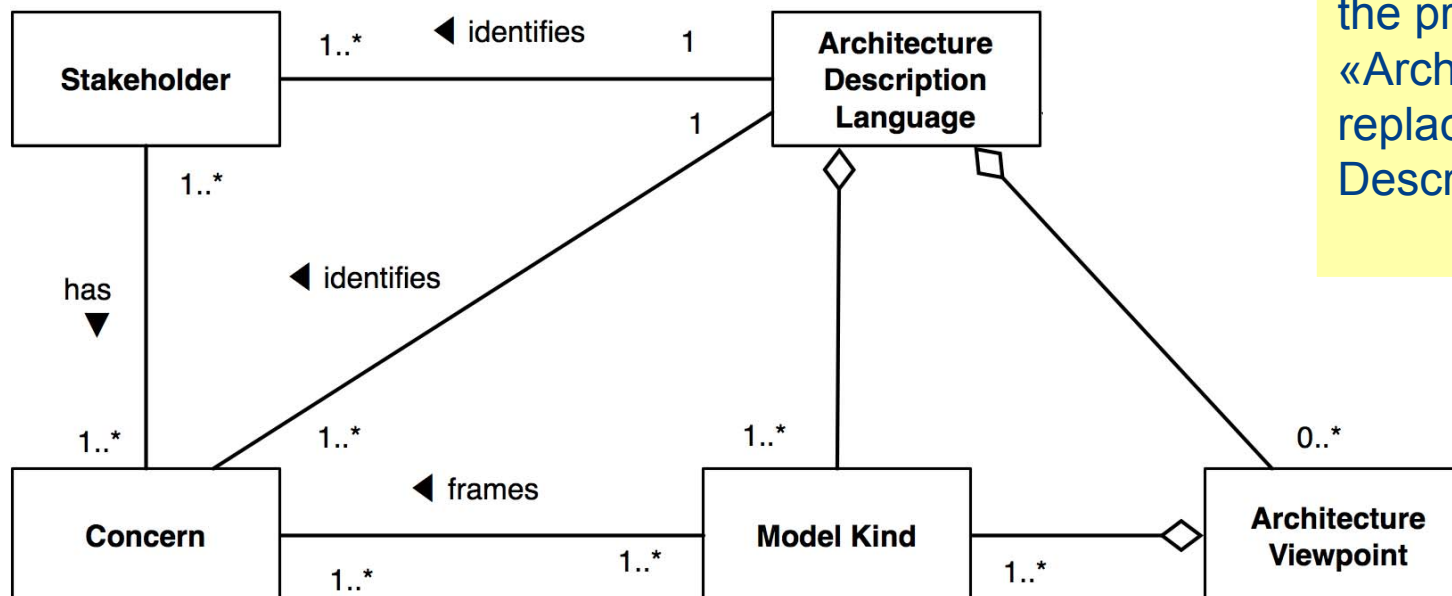


Architecture Models and Model Kinds

- An Architecture View consists of one or more *Architecture Models*
- An *Architecture Model* is constructed in accordance with the conventions established by its Model Kind, typically defined as part of its governing viewpoint.
 - ◆ Examples of Models: The model of the order process of the company, the model of the customer data, the organisation of a specific company
- A *Model Kind* defines the conventions for a type of Architecture Model.
 - ◆ Examples of model kinds are process models, organisation model, data models

Architecture Description Language

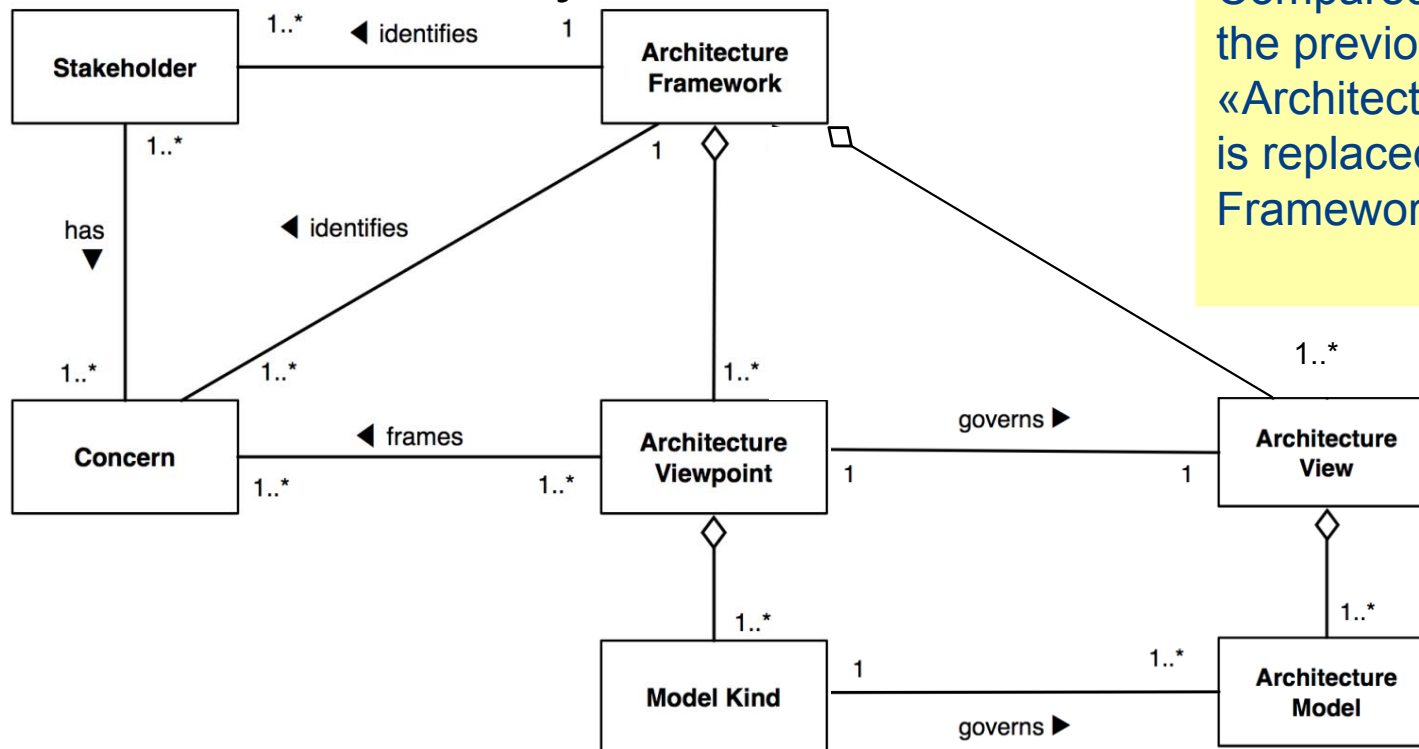
- An *Architecture Description Language (ADL)* is any form of expression for use in Architecture Descriptions. An ADL might include a single Model Kind, a single viewpoint or multiple viewpoints. Examples of ADLs: BPMN, UML, ArchiMate



Compared to the graphic on the previous slide, «Architecture Description» is replaced by «Architecture Description Language».

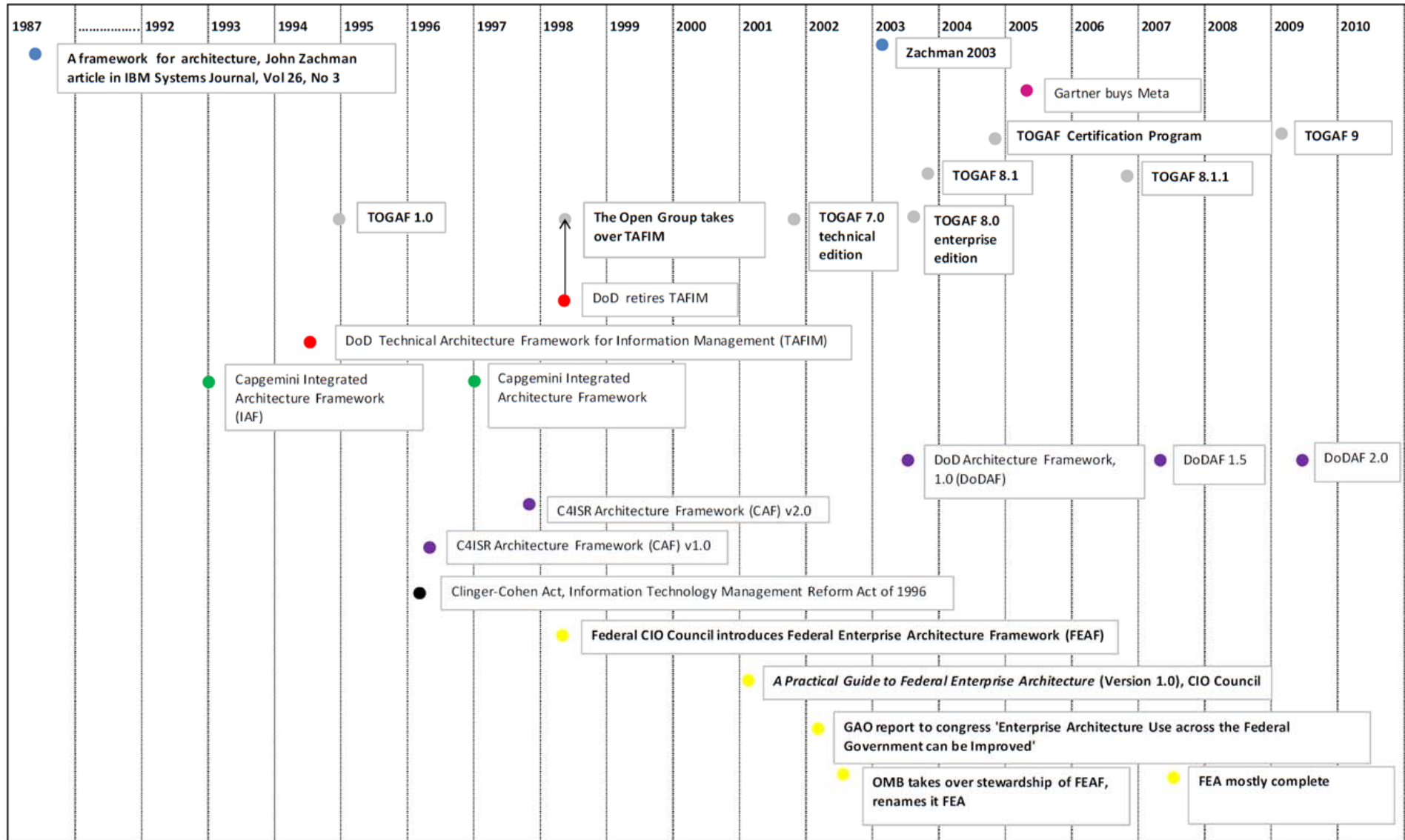
Architecture Framework

- An *Architecture Framework* establishes a common practice for creating, interpreting, analyzing and using architecture descriptions within a particular domain of application or stakeholder community.



Compared to the graphic on the previous slide, «Architecture Description» is replaced by «Architecture Framework».

Timeline of Enterprise Architecture Frameworks



Enterprise Architecture Frameworks

- There are a number of Enterprise Architecture Frameworks
- We can distinguish two main types of structures:

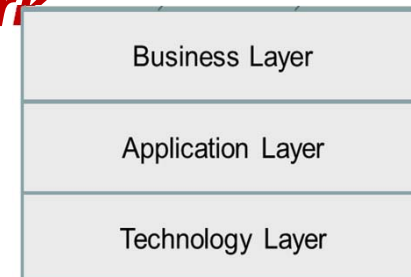
- ◆ **Matrix** of aspects and perspectives, e.g.

- **Zachmann Enterprise Architecture Framework**
 - An enterprise Ontology



- ◆ **Three layer architecture** with business, applications and technology, e.g.

- **TOGAF - The Open Group Architecture Framework**
 - A methodology for Architecture Development
 - **Best Practice Enterprise Architecture**



THE ZACHMAN FRAMEWORK

Zachman Framework

- The Zachman framework is regarded the origin of enterprise architecture frameworks (although originally called "Framework for Information Systems Architecture")
- John A. Zachman published the first version in 1987
- It is still further developed by Zachman International (<http://www.zachman.com>)
- The Framework is often referenced as a standard approach for expressing the basic elements of enterprise architecture
- The framework is a logical structure for classifying and organising the descriptive representations of an enterprise

Rationale of the Zachman Architecture

- There is not a single descriptive representation for a complex object ... there is a SET of descriptive representations.
- Descriptive representations (of anything) typically include :

Abstractions:

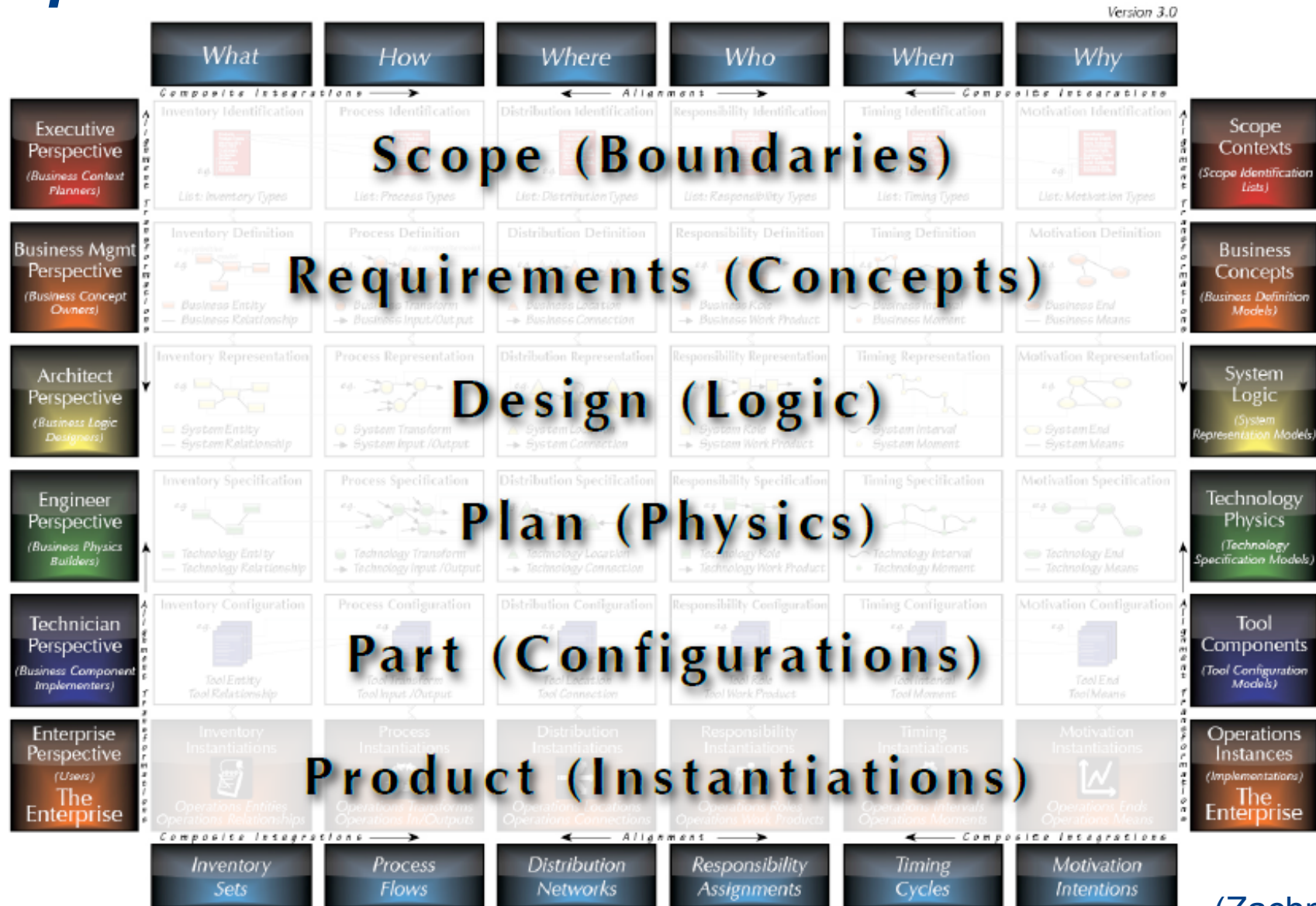
☼ Bills of Material	(What)
☼ Functional Specs	(How)
☼ Drawings	(Where)
☼ Operating Instructions	(Who)
☼ Timing Diagrams	(When)
☼ Design Objectives	(Why)

as well as Perspectives:

☼ Scoping Boundaries	(Planners)
☼ Requirement Concepts	(Owners)
☼ Design Logic	(Designers)
☼ Plan Physics	(Builders)
☼ Part Configurations	(Implementers)
☼ Product Instances	(Operators)

(Zachman 2012)

Perspectives



(Zachman 2012)



Dimension 1 – Perspectives

Zachman originally used the analogy of classical architecture

For the different stakeholders different aspects of a building are relevant - models of the building from different perspectives

Bubble charts: conceptual representation delivered by the architect

Architect's drawing: transcription of the owner's perceptual requirements – *owner's perspective*

Architect's plans: translation of the owner's requirements into a product – *designer's perspective*

Contractor's plans: phases of operation, architect's plans constrained by nature and technology – *builder's perspective*

Shop plans: parts/sections/components of building details (out-of-context specification) – *subcontractor's perspective*

The building: physical building itself

(Zachman 1987)

Dimension 1: Architectural Representations with analogies in Building and Information Systems

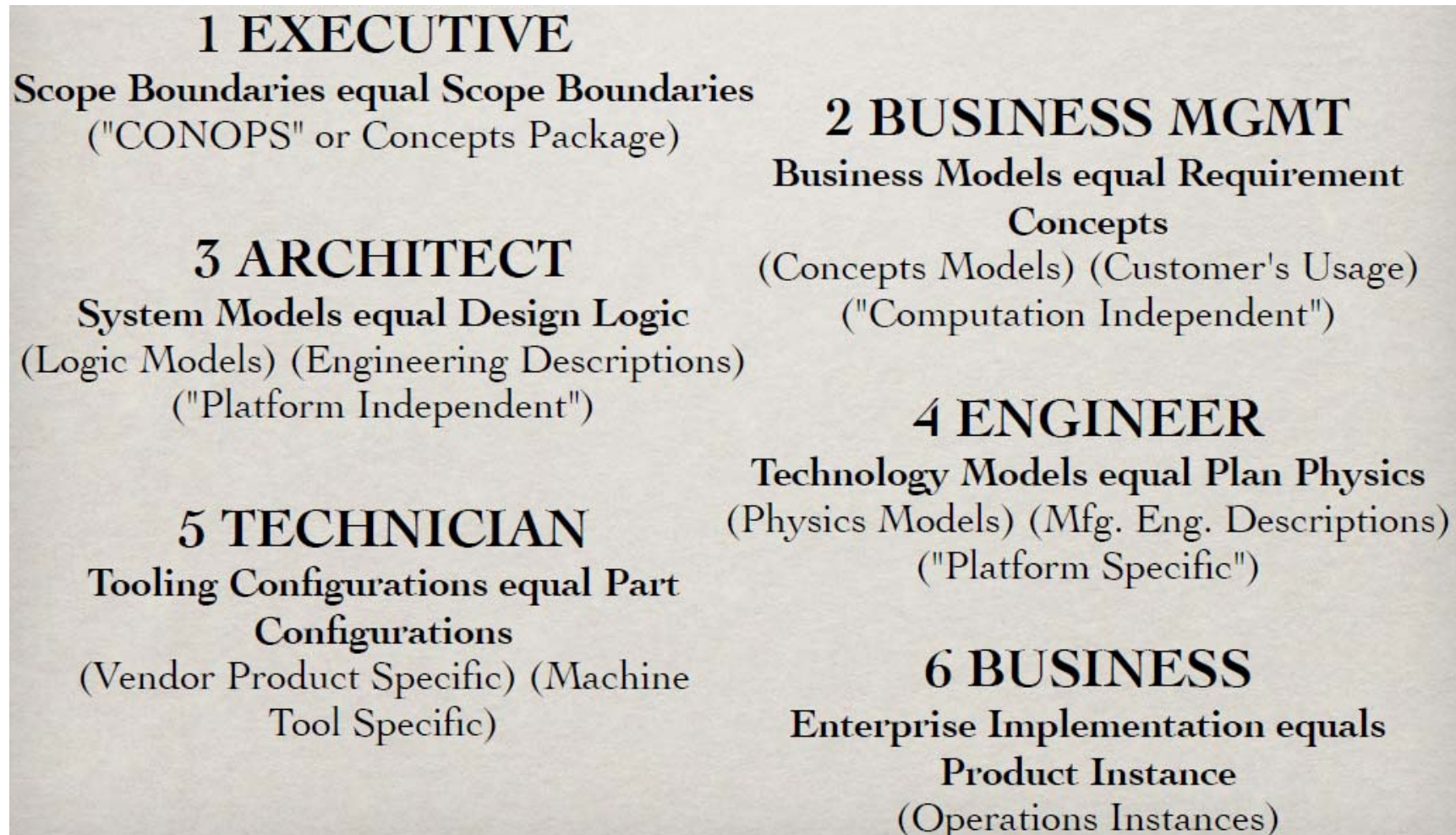
Generic	Buildings	Information Systems
Ballpark	Bubble charts	Scope/objectives
Owner's representation	Architect's drawings	Model of the business (or business description)
Designer's representation	Architect's plans	Model of the information system (or information system description)
Builder's representation	Contractor's plans	Technology model (or technology-constrained description)
Out-of-context representation	Shop plans	Detailed description
Machine language representation	—	Machine language description (or object code)
Product	Building	Information system

(Zachman 1987)



Perspectives in Enterprise Architecture

The total set of descriptions would necessarily have to include **Perspectives**:



(Zachman 2012)

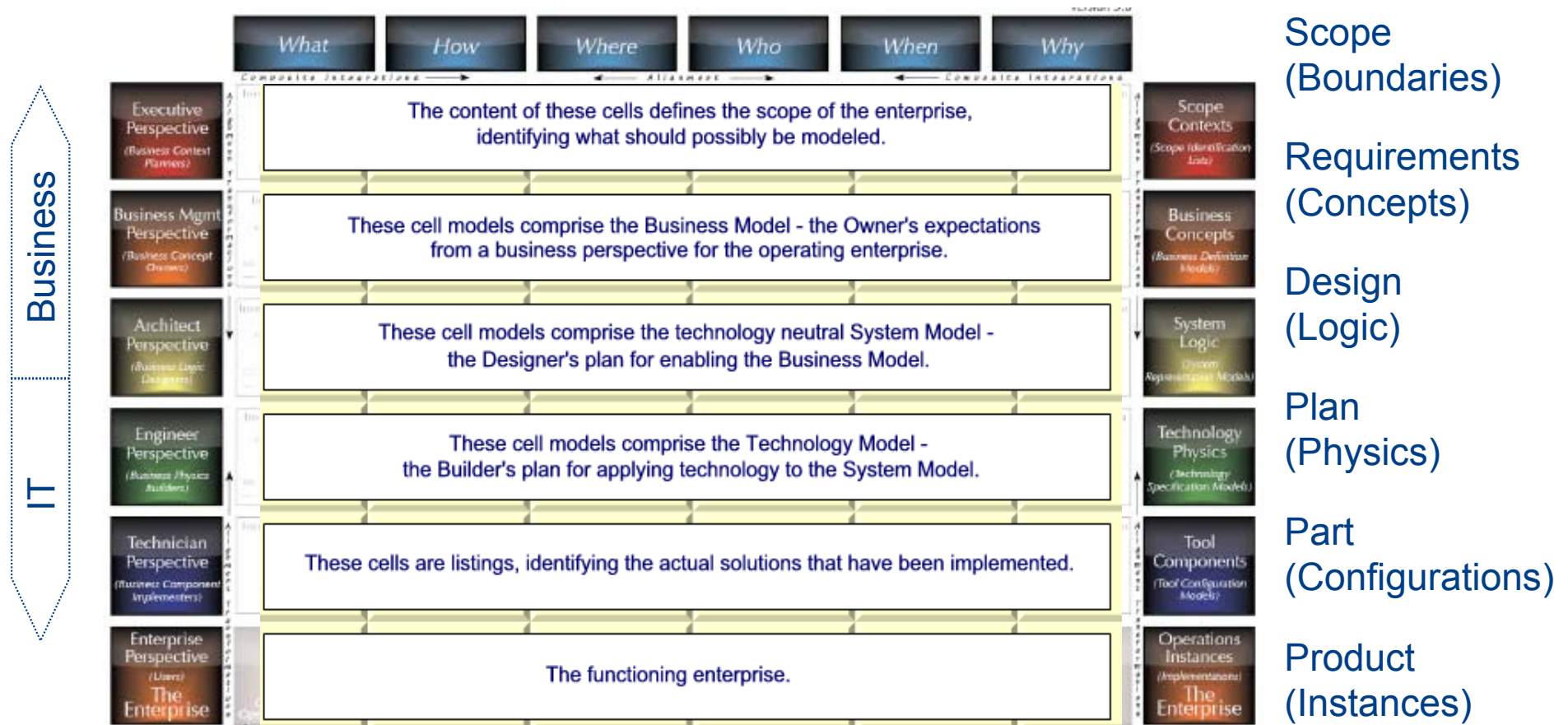
Perspectives



(Zachman 2012)



n|w Perspectives



- Each row is different in nature, in content, in semantics from the others – representing different perspectives
- Representations do not correspond to different levels of details – level of detail is an independent variable, varying within one representation



Dimension 2: Aspects of an Architecture

- There exist different types of descriptions oriented to different aspects
- Zachman associates each aspect with question word
 - WHAT material description
 - HOW functional description
 - WHERE location description
 - WHO organisational description
 - WHEN temporal description
 - WHY motivational description

(Zachman 1987)



Abstractions

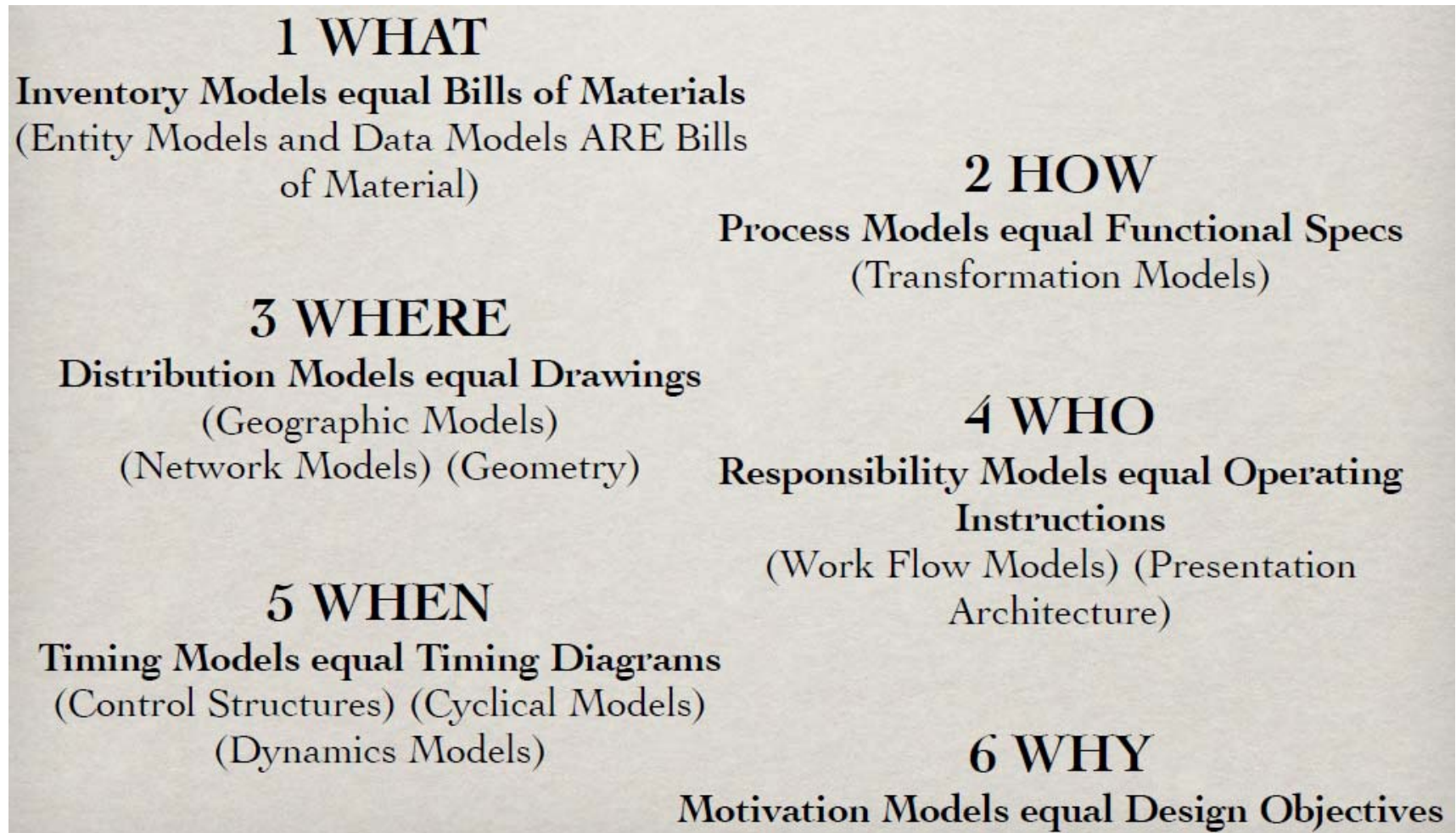


(Zachman 2012)



Abstractions in Enterprise Architecture

The total set of descriptions would necessarily have to include **Abstractions**:



(Zachman 2012)

Abstractions



(Zachman 2012)

The Zachman Framework for Enterprise Architecture

- Enterprise Ontology

Each cell contains models

Abstractions/Aspects

Perspectives
 Business
 Information Technology



*Horizontal integration lines are shown for example purposes only and are not a complete set. Composite, integrative relationships connecting every cell horizontally potentially exist.



Model Types in Zachmann

- There are different model kinds for each viewpoint (one model kind per cell)
- There can be different modeling languages to represent a kind of model
- The Architecture Description language consists of the different model kinds used

Data model
- UML class
- ERM

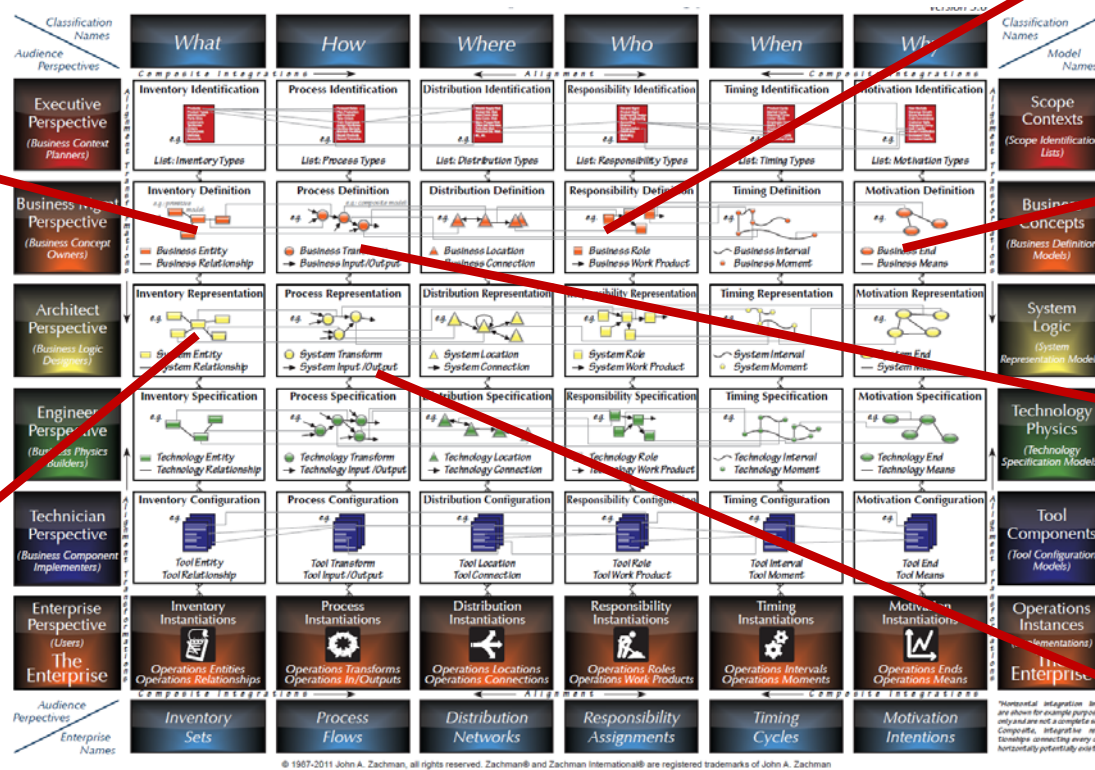
IT model
- IT systems

Organisation model
- org chart

Motivation Model
- BMM

Process model
- Flow diagram
- BPMN
- Petri Net

Workflow model
- BPEL

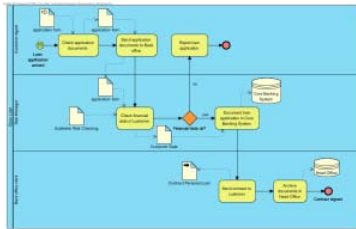


© 1987-2011 John A. Zachman, all rights reserved. Zachman® and Zachman International® are registered trademarks of John A. Zachman.

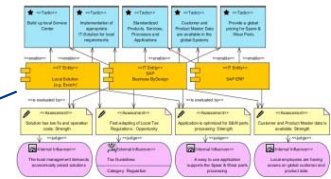
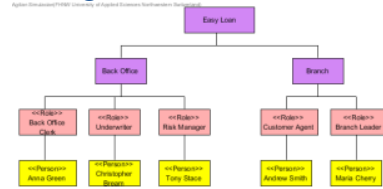


Enterprise Architecture Modeling

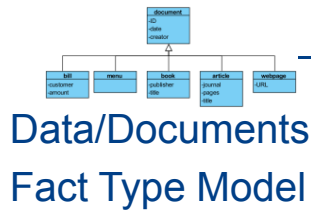
BPMN



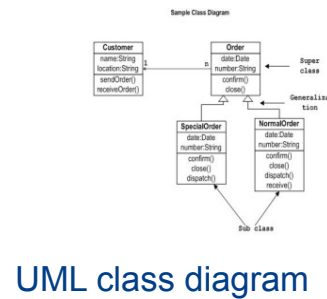
Organisation Model



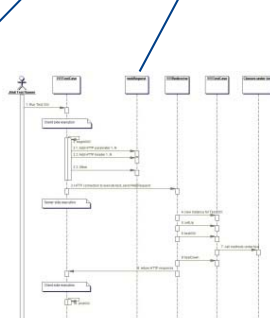
Business Motivation



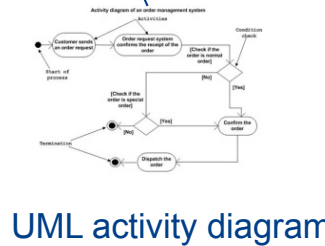
Data/Documents Fact Type Model



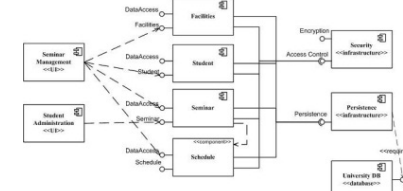
UML class diagram



UML sequence diagram



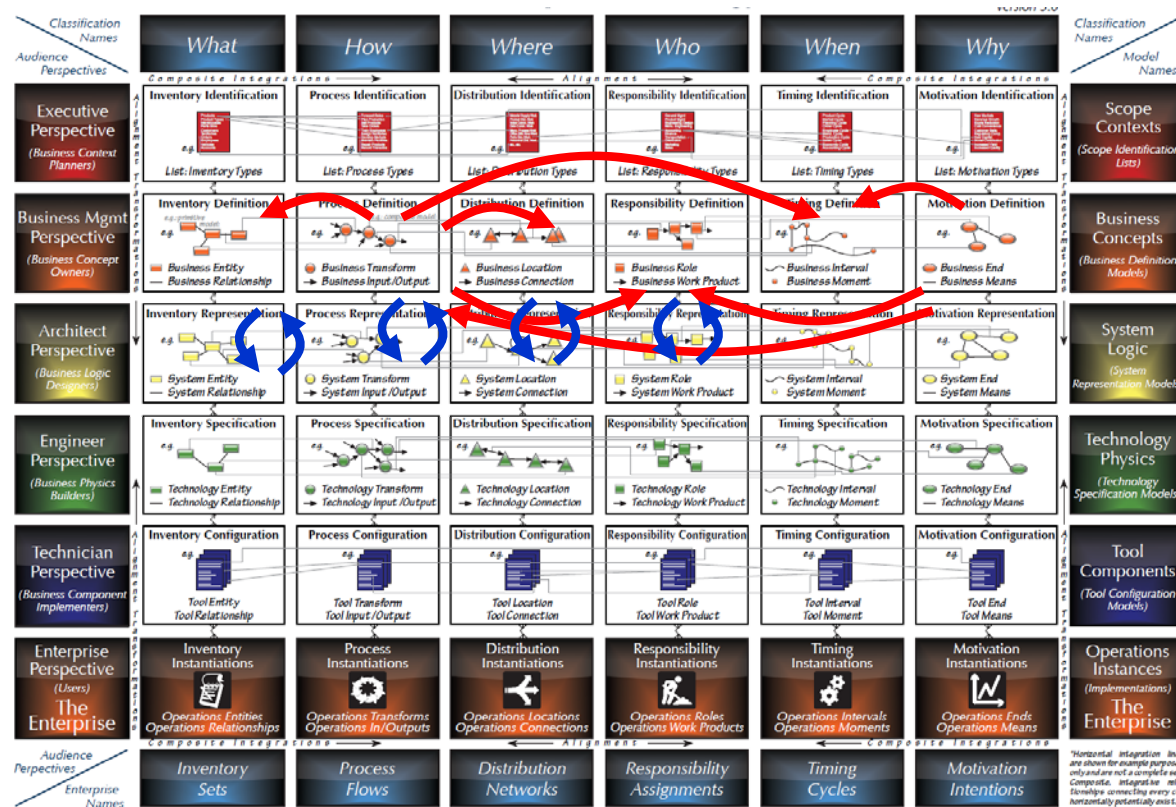
UML activity diagram



UML component diagram



Relations between Models and Model Elements



- There are relations between (elements of) the models
- **Horizontal Relations:** In same perspective, e.g.
 - ◆ Data used in a process
 - ◆ Application implementing a process activity
- **Vertical relations:** Between different perspectives
 - ◆ Implementation of an application
 - ◆ Database model for an entity relationship model

View and Viewpoints in Zachman Framework

- In the Zachman Framework, viewpoints are classified by perspectives and aspects, i.e. a choice of columns and rows
 - ◆ Example: the "how" and "who" from the "Architects Perspective"
- A view is a set of models of the cells for the corresponding viewpoint (incl. the relationships between the models)

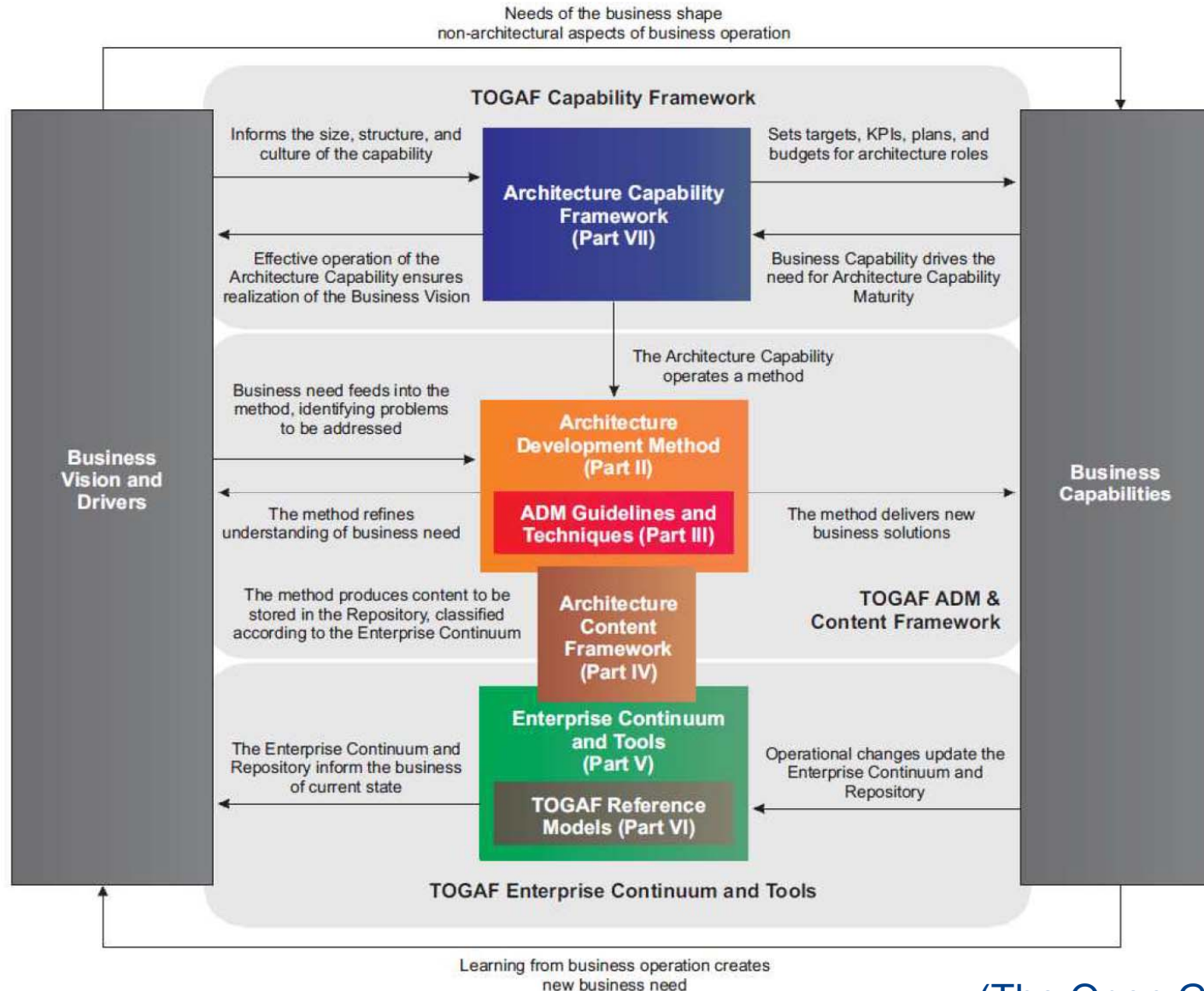


TOGAF – THE OPEN GROUP ARCHITECTURE FRAMEWORK

TOGAF – The Open Group Architecture Framework

- Developed and continuously evolved since the mid-90's by The Open Group's Architecture Forum
- While Zachman is more an ontology, TOGAF is a methodology
- At the heart of the framework is the Architecture Development Method (ADM)
- <http://www.opengroup.org/togaf/>

Structure of the TOGAF Document



(The Open Group 2009, p. 4)



TOGAF Architecture Views

The TOGAF enterprise architecture model is organised in four partial sub-architectures:

◆ Business Architecture

- Strategies, governance, organisation and business processes of the enterprise

◆ Information Systems Architecture – consists of

● Data Architecture

- data and their relations as well as principles for the organisation and the management of resources

● Application Architecture

- information systems and their relations to business processes

◆ Technology Architecture

- current technical realisation and future enterprise-specific standards like operating system, middleware, infrastructure

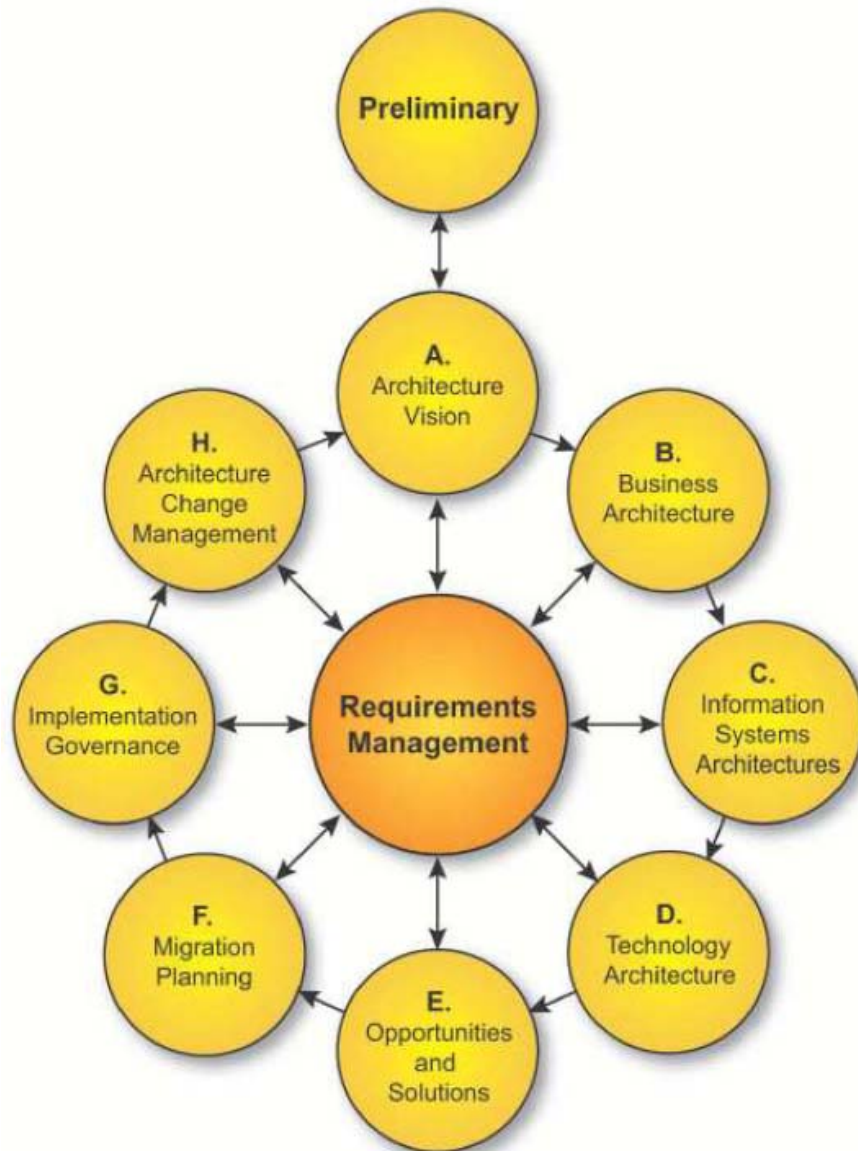
Business Architecture

Data
Architecture

Application
Architecture

Technology Architecture

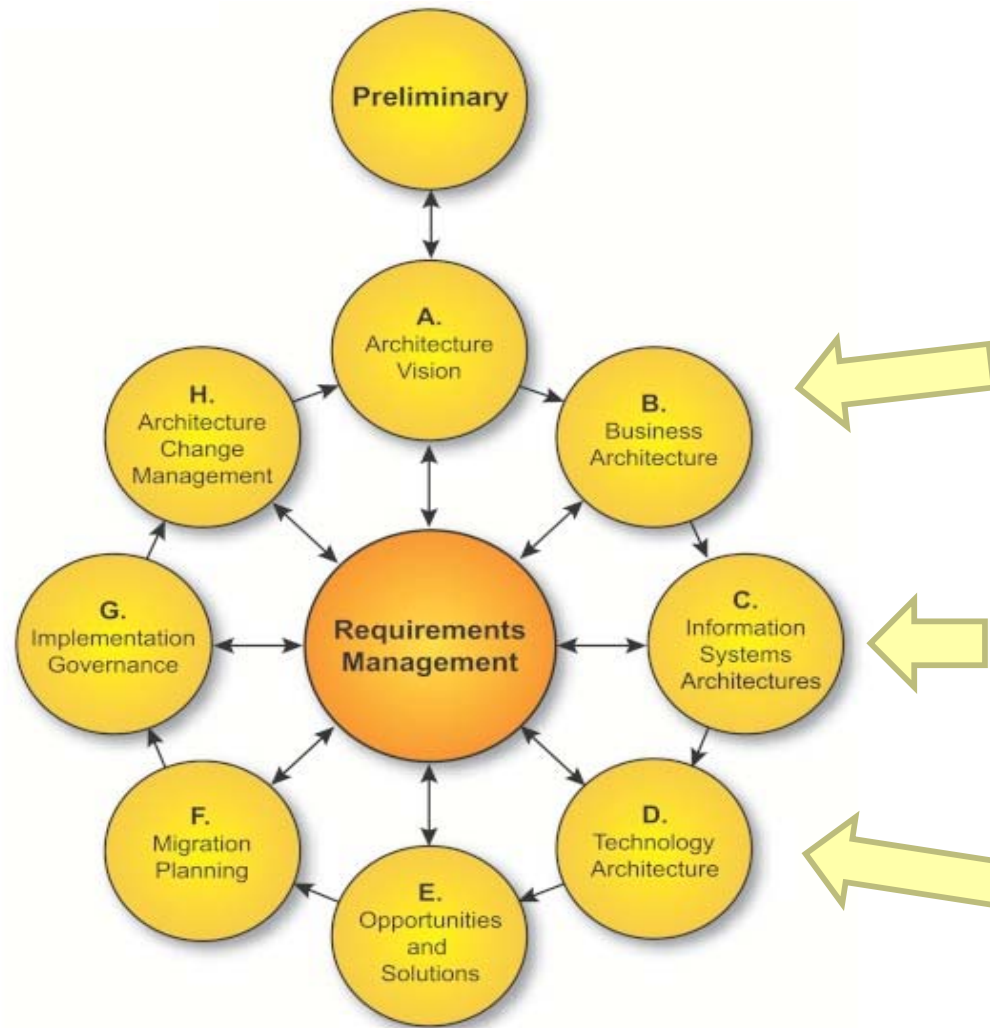
TOGAF Architecture Development Method (ADM)



- TOGAF addresses the whole enterprise architecture lifecycle
- The TOGAF Architecture Development Method (ADM) is a generic method for developing an enterprise architecture
- The goals, approaches, required input, activities and deliverables are documented for each phase separately
- The ADM method is enriched by specific ADM guidelines and techniques.

(The Open Group 2009)

TOGAF Architecture Views



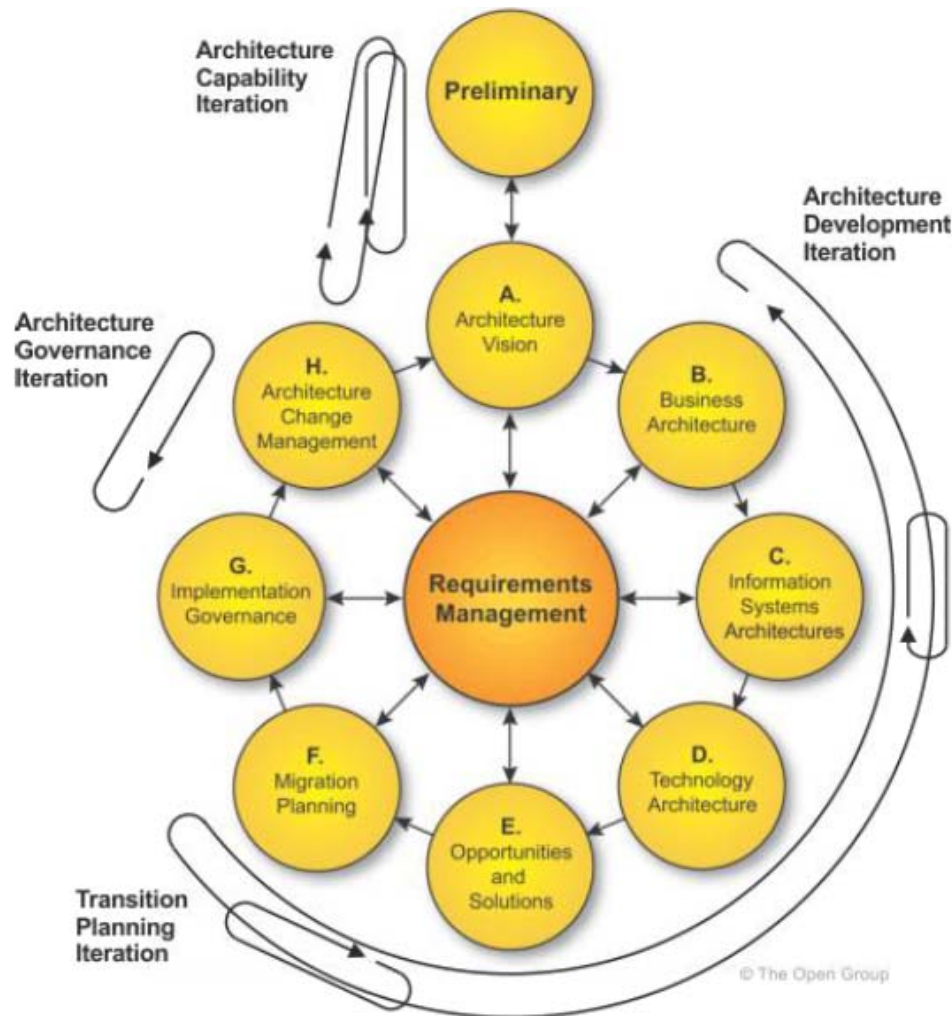
Business Architecture

Data Architecture	Application Architecture
-------------------	--------------------------

Technology Architecture



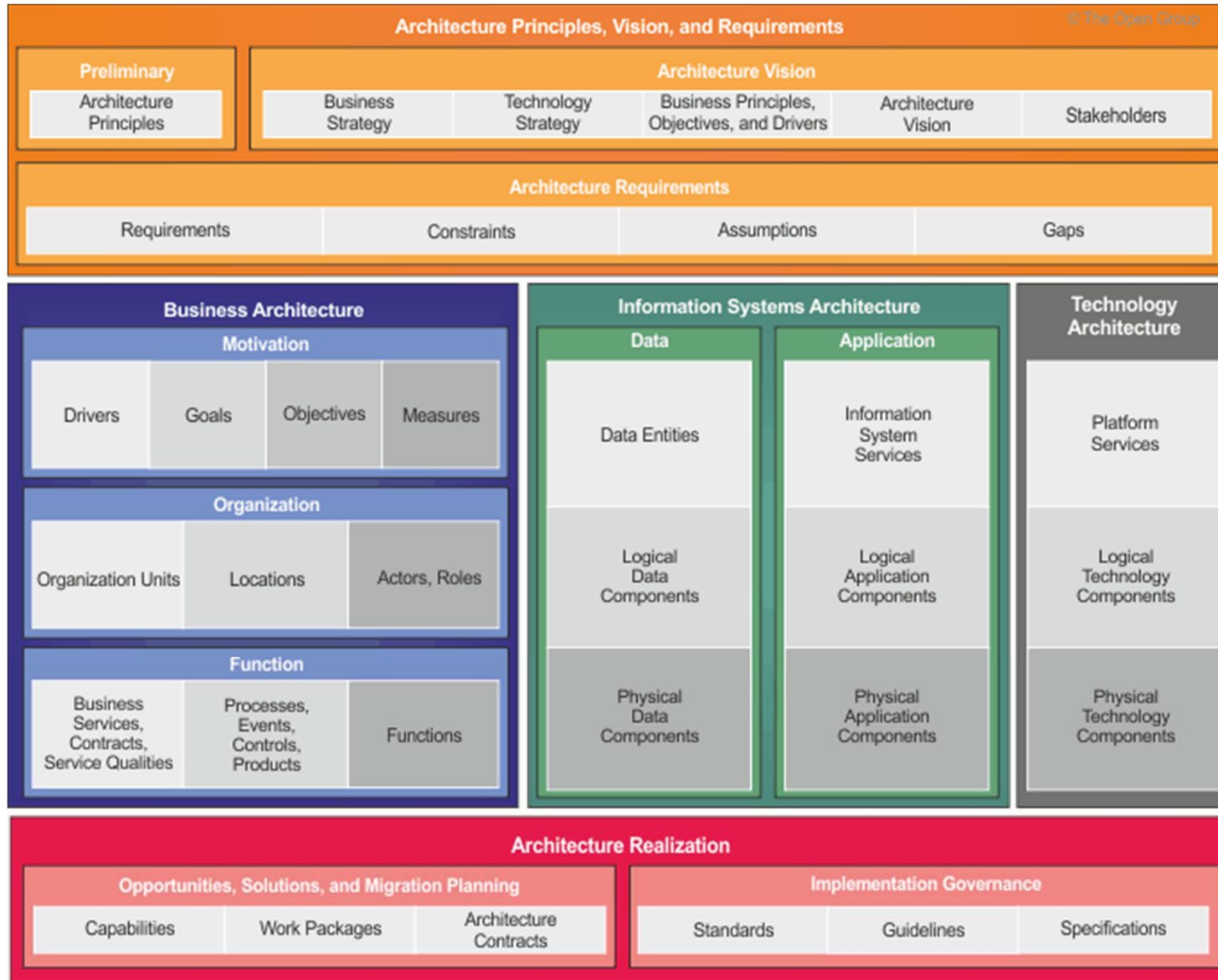
TOGAF Architecture Development Method (ADM)



- Although originally represented as a sequential method, chapter 19.2 of TOGAF describes also iteration cycles

(The Open Group 2011)

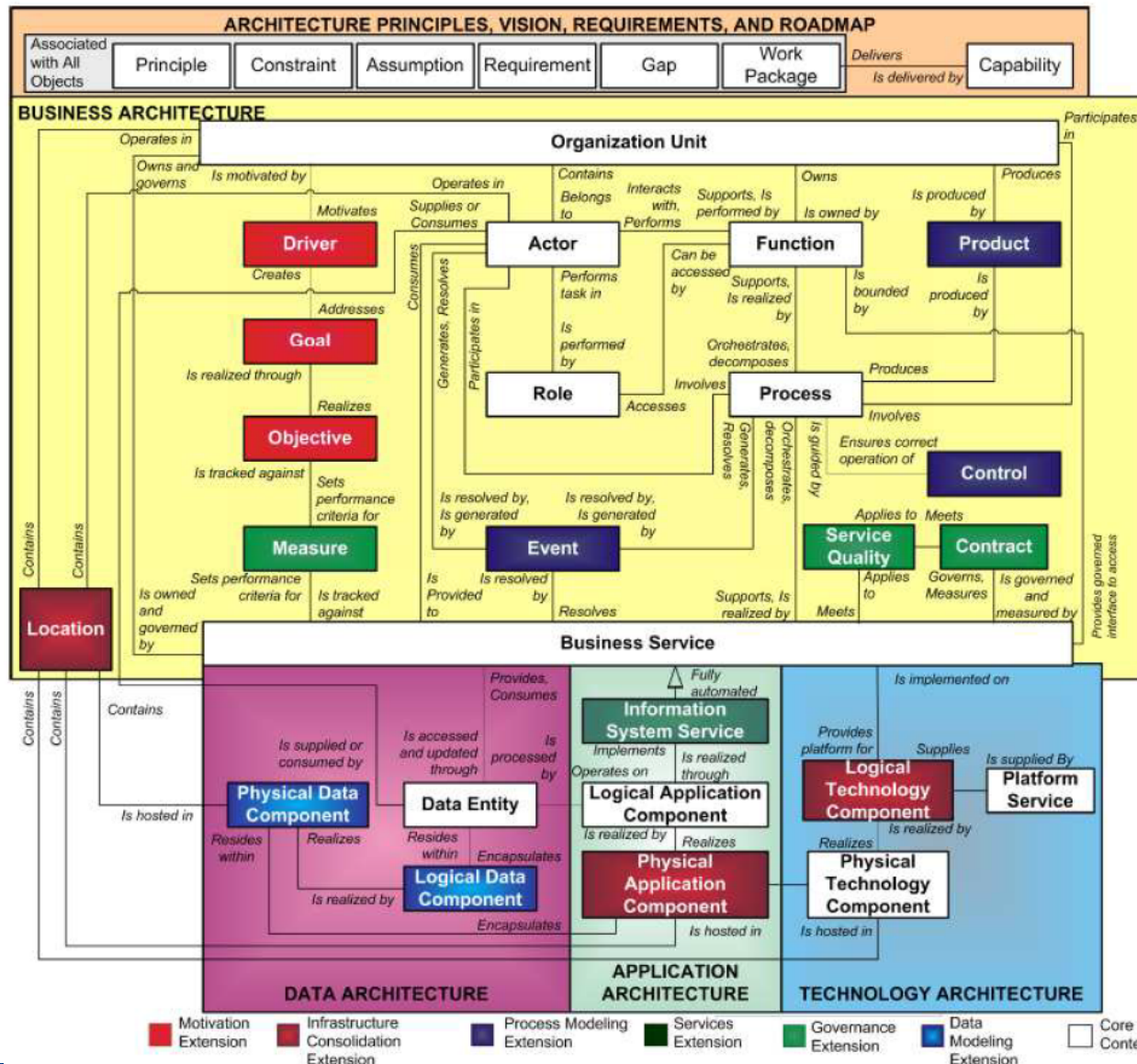
TOGAG Content Metamodel



TOGAF Content Metamodel

- The content metamodel provides a definition of all the types of building blocks that may exist within an architecture.
- The content metamodel
 - ◆ identifies all of these building block (i.e., application, data entity, technology, actor, and business service),
 - ◆ shows the relationships that are possible between them, e.g.
 - actors consume business services
 - data entities are held within applications
 - technologies implement applications
 - applications support business users or actors
 - ◆ identifies artifacts that can be used to represent them.

TOGAF: Architecture Content



The architecture content framework “provides a structural model for architectural content” and may also be substituted with other frameworks, such as the Zachman Framework (The Open Group, 2009, p. 361).

(The Open Group 2009, p. 379)



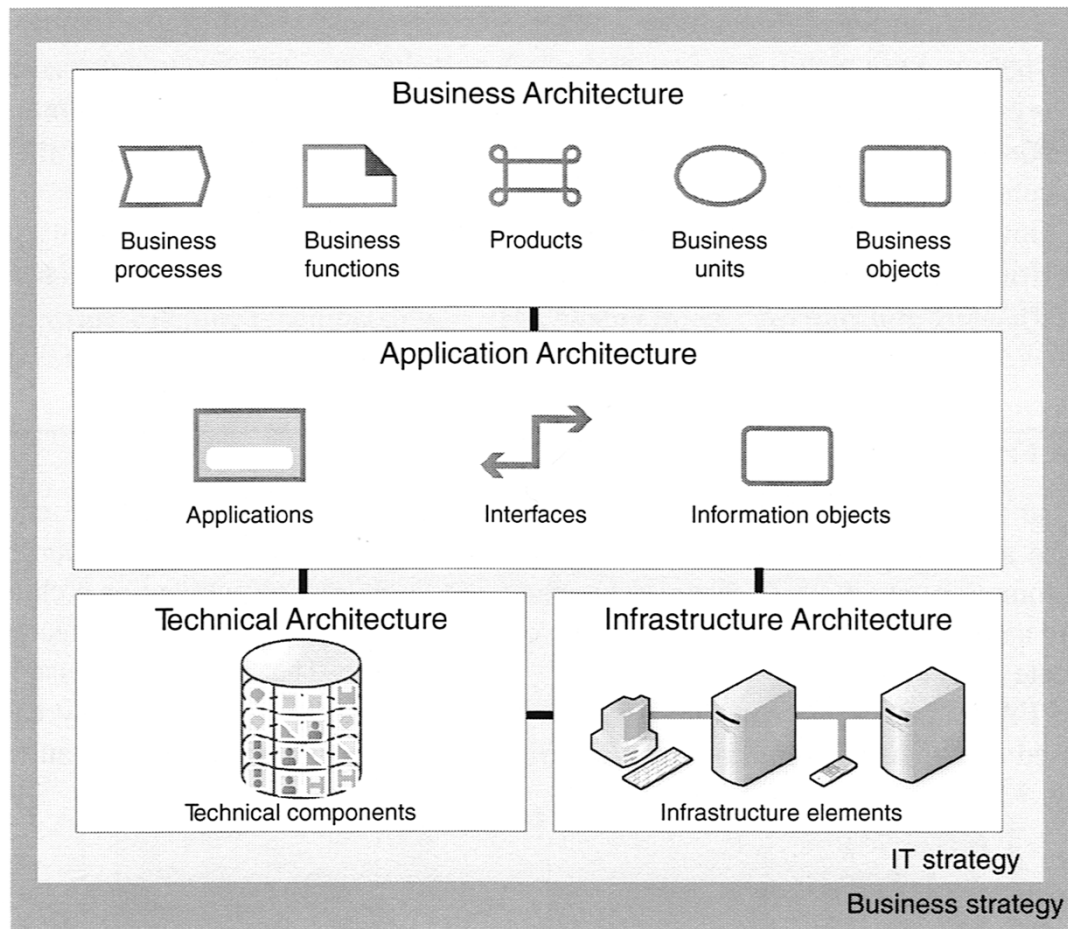
TOGAF: Architecture Content Framework

- The content framework is intended to allow TOGAF to be used as a stand-alone framework for architecture.
- However, some enterprises may opt to use an external framework (such as the Zachman Framework or ArchiMate) in conjunction with TOGAF.
- In these cases, the content framework provides a useful reference and starting point for TOGAF content to be mapped to other frameworks

BEST PRACTICE ENTERPRISE ARCHITECTURE



Best Practice Enterprise Architecture



- The Best Practice Architecture from Inge Hanschke (2010) is another example of a three-layer enterprise architecture framework.
- In contrast to TOGAF
 - ◆ it is quite simple
 - ◆ it differentiates between the technical architecture and the infrastructure architecture
 - ◆ it does not have a separate data or information architecture

from (Hanschke, 2010)

Partial Architectures of the Best Practice Architecture

■ **Business Architecture**

- ◆ Describing main entities that determine the business: business processes, functions, products, business units and business objects.

■ **Application Architecture**

- ◆ documentation of the information systems landscape, i.e. information systems, their data and interfaces und the information flow
- ◆ bridge between business architecture and the architectures of technology and infrastructure

■ **Technology Architecture**

- ◆ determination of enterprise-specific technical standards for information systems, interfaces and infrastructure

■ **Infrastructure Architecture**

- ◆ Entities of the infrastructure, on which the information systems are running

Enterprise Architecture Modeling

Overview: ArchiMate

Detailed Models

Overview: Zachman

